



Joint Council for
Qualifications^{CIC}

Instructions for conducting coursework

AQA Applied General qualifications | OCR Cambridge Nationals

CCEA GCE unitised AS and A-level qualifications

WJEC GCE legacy AS and A-level Health & Social Care | ELC and Project qualifications

1 September 2021 to 31 August 2022

For the attention of heads of centre, senior leaders
within schools and colleges and examination officers

Produced on behalf of:



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The Joint Council for Qualifications has written these instructions for the setting, supervision, authentication, marking, internal standardisation and external moderation of coursework in examination centres.

These instructions are for use in AQA Applied General qualifications, OCR Cambridge Nationals, CCEA GCE unitised AS and A-level qualifications, WJEC GCE legacy AS and A-level Health & Social Care, ELC and Project qualifications. They may also apply to other awarding body specific Level 1, Level 2 or Level 3 qualifications. Centres should refer to awarding body instructions.

These instructions are additional to any guidelines or regulations an individual awarding body may issue. If there is conflict between the awarding body's guidelines or regulations and these instructions, the awarding body's guidelines and subject-specific instructions will take precedence.

These instructions are applicable from 1 September 2021.

Changes made to the contents of this booklet since the previous version (1 September 2020 to 31 August 2021) are highlighted for easy identification.

It is the responsibility of each subject leader within the centre to familiarise themselves with the contents of this booklet.

Centres should note that any reference to 'JCQ' or 'Joint Council for Qualifications' within this document should be read as JCQ^{CIC} or the Joint Council for Qualifications^{CIC}.

Centres are encouraged to access the interactive version of this document using the Centre Admin Portal (CAP). CAP is accessible via any of the awarding bodies' secure extranet sites.

Contents

Introduction	ii
1 Task setting	1
2 Marking, revision, re-drafting and interim review of work	2
3 Presentation and submission of coursework.....	3
4 Involvement of parents/carers.....	4
5 Acknowledgement of sources.....	5
6 Malpractice in coursework.....	6
7 Authentication procedures	8
8 Marking of internally-assessed coursework	9
9 Annotation.....	10
10 Jointly-produced work	11
11 Quality of language/written communication.....	12
12 Standardisation of marking within centres	13
13 Submission of marks for internally-assessed components	14
14 Incomplete coursework.....	15
15 Applications for special consideration in respect of incomplete coursework.....	16
16 Lost coursework	17
17 Re-use of coursework marks by candidates.....	18
18 External moderation.....	19
19 Feedback to centres.....	20
20 Externally-assessed coursework.....	21
21 Return of work to centres.....	22
22 Centre consortium arrangements.....	23
23 Reviews of moderation.....	24
24 Access issues.....	25
Appendix 1 JCQ Declaration of authentication – coursework assessments	26
Appendix 2 JCQ Information for candidates – coursework assessments.....	27

Introduction

In these instructions, a centre is an institution approved by an awarding body as a centre for its examinations. The head of a school, the principal of a college or the chief officer of an institution approved as a centre is known as the head of centre.

The head of centre is responsible to the awarding bodies for ensuring that coursework is conducted and marked in accordance with these instructions.

If a situation arises which is not covered by these instructions, please contact the awarding body for advice. Where there are subject-specific instructions printed in a specification, they take precedence over the instructions in this document.

These instructions apply to the setting, supervision, authentication, marking, internal standardisation and external moderation of coursework in all subjects.

The term coursework is a generic one. It includes the work required in Project qualifications and internally assessed work in other qualifications covered by these *Instructions*. Centres should be aware that sections 1-7, 10, 11, 15-17 and 24 also apply to externally assessed coursework.

The term teacher covers anyone (regardless of employment status) who is responsible for supervising and/or assessing candidates' work.

Centres **must** send all correspondence relating to coursework directly to the awarding body concerned and not to the moderator (unless the awarding body indicates otherwise).

Centres are reminded that **any breach of the regulations for the setting, supervision, authentication and marking of coursework may constitute malpractice (which includes maladministration)** as defined in the JCQ publication *Suspected Malpractice: Policies and Procedures*. This publication is available on the JCQ website:

<http://www.jcq.org.uk/exams-office/malpractice>

For information relating to the conduct of non-examination assessments, GCE AS, A-level and GCSE specifications, centres **must** refer to the JCQ publication *Instructions for conducting non-examination assessments*:

<http://www.jcq.org.uk/exams-office/non-examination-assessments>

1 Task setting

- 1.1 Coursework components assess candidates' skills, knowledge and understanding that may not readily be assessed by timed written papers. Coursework will take many different forms. Evidence of participation that may be appended to the candidate's final work may include printouts, copies of presentations, charts, photographs, letters, artefacts, videos, recordings or transcripts of interviews, CDs or DVDs. This diversity will be reflected in any subject-specific requirements for coursework that have been issued by the awarding body.
- 1.2 The centre should ensure that candidates are clear about the assessment criteria which they are expected to meet in their coursework. Specifications usually explain the criteria in detail. However, candidates may require some further explanation or interpretation before they fully understand the nature of the skills which they are expected to demonstrate. Any explanation or interpretation given by teaching staff **must** be general and not specific to a candidate's work.
- 1.3 For candidates embarking on Project qualifications, it must be made clear what is involved: a free choice of topic, flexible choice of output and the opportunity to show evidence of a wide range of capabilities. However, projects must be chosen by candidates in discussion with their supervisor and verified as appropriate by the centre following procedures specified by the awarding body.

2 Marking, revision, re-drafting and interim review of work

2.1 When marking the coursework, teachers **must not** give credit to any additional assistance given to candidates beyond that which is described in the specification. Teachers **must** give details of any additional assistance on the appropriate record form(s). Examples would include:

- having reviewed the candidate's coursework giving (either to individual candidates or to groups) detailed advice and suggestions as to how the work may be improved in order to meet the assessment criteria;
- giving detailed indications of errors or omissions which leave the candidate no opportunity for individual initiative;
- giving advice on specific improvements needed to meet the assessment criteria;
- providing writing frames specific to the coursework task (e.g. outlines, paragraph headings or section headings);
- intervening personally to improve the presentation or content of the coursework.

Before giving additional assistance beyond that which is described in the specification, teachers should ensure that there is provision to record this assistance and take account of it in the marking.

2.2 Candidates are free to revise and re-draft a piece of coursework without teacher involvement before submitting the final piece. Candidates should be advised to spend an appropriate amount of time on the work, commensurate with the marks available.

2.3 Where drafting is inherent in the skills being tested, subject-specific guidance and exemplification will indicate its role in relation to the type of writing being undertaken and any interim assessment allowed in these circumstances. This guidance may extend to the way in which evidence of re-drafting is provided for subsequent internal standardisation or external moderation purposes.

2.4 In the absence of subject-specific guidance, teachers may review coursework before it is handed in for final assessment. Provided that advice remains at the general level, enabling the candidate to take the initiative in making amendments, there is no need to record this advice as assistance or to deduct marks. Generally, one review should be enough to enable candidates to understand the demands of the assessment criteria. Advice may be given in either oral or written form.

2.5 A clear distinction must be drawn between any interim review of coursework and final assessment for the intended examination series. **Once work is submitted for final assessment it must not be revised.** Under no circumstances are 'fair copies' of marked work allowed. **Adding or removing any material to or from coursework after it has been presented by a candidate for final assessment will constitute malpractice.**

2.6 Where coursework is submitted in digital format there may be instances where the construction of the e-coursework does not attract any marks, in which case this construction may be done by the teacher instead of the candidate.

2.7 If a candidate requires additional assistance to demonstrate aspects of the assessment, the teacher **must** award a mark which represents the candidate's unaided achievement. The authentication statement **must** be signed and information given on the record form.

2.8 Where candidates are following Project qualifications, the supervisor will need to discuss with the candidate the range of acceptable evidence that should be used. The supervisor may give feedback on the progress of the Project, which should be acknowledged on the appropriate record form.

2.9 Teachers must always keep live coursework secure and confidential whilst in their possession. **The sharing of 'live' coursework with other candidates by teaching staff will constitute malpractice.**

3 Presentation and submission of coursework

- 3.1 All coursework submitted for assessment **must** be the candidate's own work. Written material may be handwritten using black ink, or wherever possible word-processed.
- 3.2 Where appropriate, work submitted may also include printouts/copies of presentations, charts, artefacts, photographs, letters, videos, recordings or transcripts of interviews, as well as witness statements from supervising teachers to record what a candidate has demonstrated. If videos or photographs/images of candidates are included as evidence of individual participation or contribution, heads of centre **must** obtain, at the beginning of the course, the written consent of each candidate (and where necessary the candidate's parent/carer) who appears.
- 3.3 Coursework **must** include a title and, where relevant, a table of contents and a bibliography. Material included as appendices (such as tables of statistics, diagrams, graphs, illustrations, photographs, maps etc) will only be given credit if it is pertinent to the work and is referred to in the text.
- 3.4 Valuable illustrative materials should not normally be included with the work sent for moderation or external marking. A note should be attached to the coursework confirming that the material was part of the original submission. Photographs of the material may be included if appropriate.

If valuable or fragile illustrative materials have been sent for moderation or external marking, awarding bodies recommend that centres insure such material against damage or loss from the time of its despatch up to its return to the centre.

The awarding bodies accept no liability for the loss of, or damage to coursework that occurs during the moderation process or during despatch, transit or storage, or for problems that occur during the construction, submission and moderation of coursework in an electronic format.

NB Candidates should be advised **not** to include any items of real or sentimental value, e.g. photographs, certificates.

- 3.5 Where candidates produce coursework electronically their work **must** be backed-up regularly and stored securely on the centre's IT system. The centre **must** also consider protection of the candidates' work from corruption.
- 3.6 Centres should take precautions to ensure that the package in which the work is despatched is robust and securely fastened. Centres should also consider encrypting any sensitive digital media to ensure the security of the data stored within it. Centres **must** refer to awarding body guidance to ensure that the method of encryption is suitable.
- 3.7 For moderation or external marking purposes, typed or written work should be submitted on appropriately sized paper in a plain cover or folder, **together** with the cover sheets provided by the awarding body. The cover must be marked clearly with the candidate's name and number, the centre number, the specification title or code and the component/unit title or code. Bulky covers or folders **must not** be included. If the coursework is word-processed, the candidate must ensure that their centre number, candidate number and the component/unit code appears on each page as a header or footer.
- 3.8 For Project qualifications, the written report and all evidence specified by the awarding body **must** be securely attached to the candidate's record form so that the moderator can easily read the work and associated marks.

4 Involvement of parents/carers

- 4.1 Parents/carers should encourage their children to spend time on their coursework and to think about it as early as possible. They should discuss with their children the planning and timing of the work.
- 4.2 Parents/carers may provide their children with access to resource materials and discuss the coursework with their children. However, they **must not** give direct advice on what should or should not be included.
- 4.3 A child who needs more specific help should be encouraged to speak to his/her teacher.

5 Acknowledgement of sources

- 5.1** In many subjects candidates will need to use information from published sources (including the internet) when carrying out their coursework. However, candidates **must not** copy published material and claim it as their own work.
- 5.2** If candidates use the same wording as a published source, they **must** place quotation marks around the passage and state where it came from. Candidates **must** give detailed references even where they paraphrase the original material.

A reference from a printed book or journal should show the name of the author, the year of publication and the page number. For example: (Morrison, 2000 p 29).

For material taken from the internet, the reference should show the date when the material was downloaded and **must** show the precise web page, not the search engine used to locate it. This can be copied from the address line. For example: http://news.bbc.co.uk/onthisday/hi/dates/stories/october/28/newsid_2621000/2621915.stm, downloaded 5 February 2022.

- 5.3** Candidates may be required to produce a bibliography which lists the full details of publications used to research and support their coursework, even where these are not directly referred to, for example: Curran, J. *Mass Media and Society* (Hodder Arnold, 2005).

6 Malpractice in coursework

6.1 Candidates **must not**:

- submit work which is not their own;
- make available their work to other candidates through any medium;
- allow other candidates to have access to their own independently sourced material;
- assist other candidates to produce work;
- use books, the internet or other sources without acknowledgement or attribution;
- submit work that has been word processed by a third person without acknowledgement;
- include inappropriate, offensive or obscene material.

These prohibitions mean that candidates **must not** publicise their work by posting it on social media or by any other electronic means. They **must** be made aware of the JCQ document *Information for candidates – Social Media*:

<https://www.jcq.org.uk/exams-office/information-for-candidates-documents>

Candidates are not prohibited from lending books or other resources to one another provided these are not used as part of their own independently sourced material.

- 6.2 If irregularities in coursework are discovered **prior** to the candidate signing the declaration of authentication this should be dealt with under the centre's internal procedures and need not be reported to the awarding body. The only exception to this is where the awarding body's confidential assessment material has been breached. In such a case the breach **must** be reported to the awarding body.

Details of any work which is not the candidate's own **must** be recorded on the authentication form supplied by the awarding body or other appropriate place.

- 6.3 If irregularities in coursework are identified by a centre **after** the candidate has signed the declaration of authentication, the head of centre **must** submit full details of the case to the relevant awarding body immediately. Guidance is provided in the JCQ publication *Suspected Malpractice: Policies and Procedures*. The publication and Form JCQ/M1 can be found on the JCQ website:

<http://www.jcq.org.uk/exams-office/malpractice>

- 6.4 Centres **must** have a published internal appeals procedure in place, relating to internal assessment decisions, which is made widely available and accessible to all candidates. The procedure **must** cover appeals against decisions to reject a candidate's coursework on the grounds of malpractice.

A centre may place its internal appeals procedure on the school/college website or alternatively the document may be made available to candidates upon request.

- 6.5 Where a report of suspected malpractice in coursework is received from an examiner or a moderator, the awarding body, where necessary, will ask the head of centre to conduct a full investigation into the alleged malpractice and report his/her findings to the awarding body. Guidance is provided in the JCQ publication referred to in paragraph 6.3.
- 6.6 Awarding bodies reserve the right to submit candidates' work to third party IT service providers to detect potential and suspected malpractice. Any such submissions will be done in a way which protects the identity of the candidate.

6.7 Heads of centre and appropriate senior leaders **must** ensure that those members of teaching staff involved in the direct supervision of candidates producing coursework are aware of the potential for malpractice.

Teaching staff must be reminded that failure to report allegations of malpractice or suspected malpractice constitutes malpractice in itself.

Teaching staff **must**:

- be vigilant in relation to candidate malpractice and be fully aware of the published regulations;
- escalate and report any alleged, suspected or actual incidents of malpractice to the head of centre or directly to the awarding body, following the centre's whistleblowing procedures where relevant.

7 Authentication procedures

7.1 Each candidate **must** sign a declaration (see Appendix 1) when submitting their coursework to their subject teacher for final assessment. (A candidate is defined as someone for whom an entry is in place for the unit or qualification.) This is to confirm that the work is their own and that any assistance given and/or sources used have been acknowledged. **Ensuring that they do so is the responsibility of the centre.** Centres **must** record marks of zero if candidates cannot confirm the authenticity of work submitted for assessment

7.2 Teachers **must** confirm that all of the work submitted for assessment was completed under the required conditions and that they are satisfied the work is solely that of the individual candidate concerned. If they are unable to do so, the work **must not** be accepted for assessment.

All teachers **must** sign the declaration of authentication after the work has been completed. Failure to sign the authentication statement may delay the processing of the candidate's results.

If, during the external moderation process, it is found that the work has not been properly authenticated, the awarding body will set the mark(s) awarded by the centre to zero.

7.3 The teacher should be sufficiently aware of the candidate's standard and level of work to be able to identify if the coursework submitted appears to be beyond that candidate's talents.

7.4 In most centres teachers are familiar with candidates' work through class and homework assignments. Where this is not the case, teachers should require coursework to be completed under direct supervision.

7.5 In all cases, some direct supervision is necessary to ensure that the coursework submitted can be confidently authenticated as the candidate's own.

7.6 If teachers have reservations about signing the authentication statements, the following points of guidance should be followed:

- if it is believed that a candidate has received additional assistance and this is acceptable within the guidelines for the relevant specification, the teacher should award a mark which represents the candidate's unaided achievement. The authentication statement **must** be signed and information given on the relevant form;
- if the teacher is unable to sign the authentication statement of a particular candidate, then the candidate's work cannot be accepted for assessment. A mark of zero **must** be submitted;
- if malpractice is suspected, a member of the senior leadership team **must** be consulted about the procedure to be followed (see paragraphs 6.2 and 6.3).

8 Marking of internally-assessed coursework

8.1 When marking coursework, teachers **must** pay close attention to the requirements of the specification. Teachers should note that it is their responsibility to award marks for coursework in accordance with the marking criteria detailed in the awarding body's specification and subject-specific associated documents. Teachers **must** show clearly how the marks have been awarded in relation to these marking criteria. The centre's marks **must** reflect the relative attainment of all the candidates.

8.2 Centres **must** make every effort to avoid situations where a candidate is assessed by a person who has a close personal relationship with the candidate, for example, members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g son/daughter).

Where this cannot be avoided, the centre **must** declare the possible conflict of interest to the relevant awarding body and submit the marked work for moderation whether or not it is part of the moderation sample. Further details are given in section 5 of the JCQ publication *General Regulations for Approved Centres*.

This publication is available in an interactive format within the Centre Admin Portal (CAP). The Centre Admin Portal can be accessed via any of the awarding bodies' secure extranet sites. The document is also available in PDF format on the JCQ website:

<http://www.jcq.org.uk/exams-office/general-regulations>

8.3 Candidates' work **must** be dated by teachers to reflect when it was marked.

9 Annotation

- 9.1 When coursework is marked, it **must** be annotated to show clearly how credit has been awarded.
- 9.2 Subject to any further guidance contained in awarding bodies' specifications, one of the following approaches should be adopted:
- summary comments either on the work (usually at the end) or on a cover sheet;
 - key pieces of evidence flagged throughout the work by annotation either in the margin or in the text;
 - a combination of the above.
- 9.3 Indications as to how marks have been awarded should:
- be clear and unambiguous;
 - be appropriate to the nature and form of the coursework;
 - facilitate the standardisation of marking within the centre;
 - enable the moderator to check the application of the assessment criteria to the marking.
- 9.4 Where appropriate to the type of work, the evidence to support the marks awarded should:
- indicate where the assessment criteria have been met, e.g. by writing key phrases from the criteria (such as 'awareness of values', 'selects information', 'uses a variety of techniques') at the appropriate point in the work;
 - indicate any planning and processing not undertaken individually and provide details of any assistance or prompting given to the candidate.
- 9.5 Where a moderator cannot find evidence to justify the mark awarded to a candidate, the work may be returned to the centre for further explanation or the mark may be subject to adjustment.

10 Jointly-produced work

10.1 Unless the specification says otherwise, candidates may work together when undertaking research. However, candidates **must** provide an individual response as part of any task outcome.

Where an assignment may be undertaken as part of a group, each candidate **must** write up his/her own account of the assignment. Even if the data the candidates have is the same, the description of how the data was obtained and the conclusions drawn from it **must** be in each candidate's own words. Alternatively, where candidates are required to construct a product, they may collaborate in the construction of the product but their responses **must** be their own and their individual contribution clearly identified.

It must be possible to determine the contribution made by individual candidates.

11 Quality of language/written communication

- 11.1** Candidates should be aware of the need to use both clear communication and presentation in their coursework. Specifications will state whether quality of language will be assessed.

12 Standardisation of marking within centres

12.1 Centres should use reference and archive materials including exemplar material provided by the awarding body or, where available, work in the centre from the previous year to help set the standard of marking within the centre.

12.2 Prior to marking, it is useful to undertake a trial marking exercise. Teachers should mark the same relatively small sample of work to allow for the comparison of marking standards. The exercise can take place at appropriate stages during the course and has three beneficial effects: it helps to bring about greater comparability in the marking standards; it may identify at an early stage any teachers whose standards are out of line with that of their colleagues; and it alleviates a heavy marking load at the end of the course.

12.3 Where the work for a component/unit has been marked by more than one teacher in a centre, internal standardisation of marking **must** be carried out. One of the following procedures should normally be used:

Either a sample of work which has been marked by each teacher is re-marked by the teacher who is in charge of internal standardisation;

or all the teachers responsible for marking a component/unit exchange some marked work (preferably at a meeting led by the teacher in charge of internal standardisation) and compare their marking standards.

Where standards are found to be inconsistent, the relevant teacher(s) should adjust their marks or re-consider the marks of all candidates for whom they were responsible. The new marks should be checked by the teacher in charge of internal standardisation.

12.4 **Following completion of the marking and internal standardisation, the coursework must be retained by the centre, and not returned to the candidates, until after the closing date for reviews of moderation for the series concerned or until any appeal, malpractice or other results enquiry has been completed, whichever is later.**

12.5 Centres **must** retain evidence that internal standardisation has been carried out.

13 Submission of marks for internally-assessed components

13.1 Awarding bodies will publish deadlines for the submission of marks.

13.2 Any documentation supplied by the awarding bodies **must** be completed in accordance with the instructions given and returned by the date specified. Unless instructed otherwise, centres should submit their coursework marks electronically.

It is the responsibility of centres to carefully check the marks they are submitting to an awarding body to minimise errors.

Marks for all candidates, not just the sample submitted, **must** be checked by the centre for both addition and transcription errors before submission.

13.3 Where a centre has been affected by circumstances beyond its control it may, **in exceptional cases**, be possible to grant a short extension. This is at the discretion of the awarding body. The centre **must** contact the awarding body as soon as possible to request such an arrangement.

It is important that centres are aware that the timely release of examination results will be put at risk if the deadlines for the submission of marks and samples are not adhered to

Awarding bodies will not accept late coursework marks where there are concerns as to the integrity of the marks.

13.4 Where centres submit their coursework marks electronically, the awarding body may also require a copy of the marks to be submitted to the moderator, along with any other documentation needed.

13.5 The centre **must** inform candidates of their centre assessed marks as a candidate can request a review of the centre's marking **before marks are submitted to the awarding body.**

Any review must be undertaken before marks are submitted to the awarding body.

Sufficient time **must** be given to candidates to allow them to review copies of material, as necessary, and reach a decision. The centre **must** also allow sufficient time for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline. The review **must** be carried out by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review. The reviewer **must** ensure that the candidate's mark is consistent with the standard set by the centre.

Centres **must** also make it clear to candidates that any centre assessed marks are subject to change through the moderation process.

Centres **must** inform the awarding body if they do not accept the outcome of a review.

The awarding bodies have produced a set of Frequently Asked Questions which may be found at:

<https://www.jcq.org.uk/exams-office/non-examination-assessments>

Although the Frequently Asked Questions apply to non-examination assessments, they equally apply to the qualifications covered by this document.

14 Incomplete coursework

14.1 Where candidates are required to produce several distinct pieces of work, which are assessed separately, a candidate who fails to complete all parts of the work should be credited with the marks for the task(s) carried out unless the specification says otherwise. In some subjects, the tasks may be inter-dependent and teachers should follow the instructions in the specification when assessing incomplete work.

14.2 A candidate who fails to submit any coursework **must** be recorded as absent, and **not** awarded a mark of **'0'** (zero), when marks are submitted.

A candidate who fails to submit coursework will receive a partially-absent subject grade in a multi-component qualification and an absent grade in a single component qualification, e.g. a Project qualification.

14.3 If none of the work is worthy of credit or where the authenticity of the work cannot be confirmed, a mark of **'0'** (zero) **must** be awarded.

15 Applications for special consideration in respect of incomplete course

15.1 If a candidate has temporarily experienced an illness or injury, or some other event outside of his/her control, which has had, or is reasonably likely to have had a material effect on his/her ability to take an assessment or demonstrate his/her level of attainment in an assessment, it may, in some subjects, be possible to accept a reduced quantity of coursework without penalty. **However, all of the assessment objectives must have been covered at least once.** This **will not** be possible if the specification only requires one piece. Where several pieces are required, the reduction will only be accepted if those pieces are testing the same criteria. It **will not** be possible to give this consideration in every case, for example, if work has not been submitted or the assessment objectives have not been satisfied.

15.2 Centres **must not** adjust candidates' marks. An application for special consideration should be submitted to the awarding body, attached to a breakdown of marks across the assessment objectives. **Candidates must have been fully prepared for the course but unable to finish the work.**

Awarding bodies **will not** normally agree a reduced amount of work in advance.

15.3 Candidates **will not** be eligible for special consideration if their preparation for or performance in coursework components is affected by failure to cover the course because of joining the class part way through. Candidates who change examination centres part way through a course will either have to make up the work which has been missed or accept that there will be a gap in their coursework which may have consequences upon the grade issued.

15.4 For further information on special consideration please refer to the JCQ publication *A guide to the special consideration process*. This publication is also available in an interactive format within the Centre Admin Portal (CAP). The Centre Admin Portal can be accessed via any of the awarding bodies' secure extranet sites. The document is also available in PDF format on the JCQ website:

<https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance>

16 Lost coursework

16.1 If a candidate's work has been lost within the examination centre and, despite every effort, it cannot be found or it has been accidentally destroyed, the circumstances **must** be reported immediately to the awarding body using JCQ Form 15 - JCQ/LCW‡. This form is available from the JCQ website:

<http://www.jcq.org.uk/exams-office/coursework>

Guidance is provided in the JCQ publication *A guide to the special consideration process*. This publication is also available in an interactive format within the Centre Admin Portal (CAP). The Centre Admin Portal can be accessed via any of the awarding bodies' secure extranet sites. The document is also available in PDF format on the JCQ website:

<https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance>

16.2 The awarding body will consider whether it is appropriate to accept a mark for which there is no available evidence of attainment. This might occur in the following circumstances:

- The centre **must** be able to verify that the work was done and that it was monitored whilst it was in progress.
- The loss **is not** the consequence of negligence on the part of the candidate.
- If only part of the work is lost and part of the work is available, further guidance must be sought from the relevant awarding body.
- If the work was marked **before** it was lost or damaged, marks **must** be submitted in the usual way. Form 15 - JCQ/LCW‡ **must** be submitted both to the moderator and the awarding body by the deadline for the submission of internally-assessed marks or by the date by which the work should be despatched for moderation. **No marks will be accepted after the issue of results.**
- If the work **was not** marked before it was lost or damaged, an estimated mark may be submitted on Form 15 - JCQ/LCW‡, based on the teacher's knowledge of the work up to the point where it was lost. Estimates **must not** include any supposition as to what the candidate might have achieved if the work had been finished. Estimates **must not** be submitted on mark sheets, only on Form 15 - JCQ/LCW‡. **No estimated marks will be accepted after the issue of results.**

‡AQA and OCR centres **must not** submit Form 15 - JCQ/LCW. Applications **must** be submitted online using e-AQA or OCR Interchange as appropriate.

17 Re-use of coursework marks by candidates

- 17.1 In unitised specifications the result of a coursework unit is available, like the result of any other unit, for re-use after certification **subject to the availability of the specification in a future examination series.**
- 17.2 Candidates who re-sit a unit may submit new, amended or enhanced coursework. Centres **must** check awarding body specifications.

Centres **must** be aware of the need to authenticate candidates' work, as detailed in section 7. They **must** ensure that any additional assistance is recorded and taken into account when marking the work (or submitted to the external examiner) in the normal way, as detailed in section 2. In the case of amended or enhanced coursework, this **must** include additional assistance received before the previous submission or following feedback from that submission. However, candidate knowledge of the previous breakdown of marks **does not** need to be regarded as additional assistance.

18 External moderation

- 18.1** The purpose of moderation is to bring the marking of internally-assessed components in all participating centres to an agreed standard. All centres are required by awarding bodies to submit to moderation as described below.
- 18.2** The most common procedure is postal moderation, where the centre submits a sample of work to the moderator. For certain components however, the moderator may visit the centre to moderate the sample of work.
- 18.3** Different procedures may apply where work is ephemeral (i.e. there is no permanent end-product).
- 18.4** By the date specified, each centre **must** submit to the awarding body:
- details of marks awarded;
 - confirmation that internal standardisation has been carried out as required;
 - any other documentation as the specification or the awarding body may require.
- 18.5** The awarding body (or the moderator on behalf of the awarding body) normally specifies the candidates whose work is required for moderation by name/number. The sample should include work from across the range of attainment at the centre.
- It is the responsibility of centres to ensure that moderators receive the correct samples of work to review.**
- 18.6** For visiting moderation, a visit is arranged for a date and time convenient to both the centre and moderator.
- 18.7** During the moderation process the moderator assesses the sample work using the published marking criteria in the specification.
- 18.8** The moderator marks are compared with the centre marks for the sample of work. If the differences between the moderator marks and the centre's marks exceed the specified tolerance, adjustments may be applied to the centre's marks.
- 18.9** If further evidence of the centre's marking is required, the moderator may request some or all of the remaining work which **must** have been kept securely and be available.
- 18.10** If the moderator significantly disagrees with the centre's rank order (perhaps because internal standardisation has not been carried out effectively) the awarding body may ask the centre to re-consider its marks. Alternatively, the moderator's marks may be applied to all candidates in the centre and, in some circumstances, a charge may be made.

19 Feedback to centres

19.1 Following moderation the final marks are provided to centres electronically with the results. Feedback forms from the moderator are made available to centres, either in hard copy format or electronically, and provide advice on the following:

- how appropriate the tasks were (where set by the centre) and the coverage of the assessment objectives;
- the accuracy of the centre's assessments against the criteria and in relation to the agreed standard for the component/unit;
- the efficiency of the centre's administration.

19.2 The advice given on the feedback forms will be constructive, objective and supported by fact or judgement. It will be sufficiently detailed to explain any differences between the centre's assessments and the agreed standard for the component/unit. It should enable centres to take remedial action where necessary before the next submission of internally-assessed work.

19.3 Comments on the accuracy of a centre's assessments may be made even if no adjustment is applied. For example, if the difference between the moderator marks and the centre marks is only just within the specified tolerance, the moderator will normally provide advice on the standard of marking.

20 Externally-assessed coursework

20.1 In some specifications, coursework is externally-assessed. The coursework of all candidates, and where required the authentication statements, **must** be sent by a specified date to an awarding body/examiner for marking.

20.2 Externally-assessed coursework **will not** necessarily be returned to centres automatically. Where the work is not returned to centres, it is treated in the same way as examination scripts and centres will be required to request such work under access to scripts arrangements. For further information on access to scripts arrangements, centres should refer to the JCQ publication *Post Result Services, Information and guidance for centres*. This publication is available on the JCQ website:

<http://www.jcq.org.uk/exams-office/post-results-services>

Feedback forms **will not** accompany any externally-assessed coursework returned to centres.

21 Return of work to centres

- 21.1** Moderators will return work directly to centres where instructed to do so by the awarding body. Coursework assessments submitted electronically **will not** normally be returned to centres.
- 21.2** **Centres are required to retain candidates' marked coursework, under secure conditions, whether or not it was part of the moderation sample, until all possibility of a review of moderation has been exhausted or until any appeal, malpractice or other results enquiry has been completed, whichever is later.** Where retention is a problem, because of the nature of the coursework, some form of evidence (e.g. photographic, audio or media recording) **must** be available. Centres are requested to keep a record of the examination numbers and names of those candidates whose work is included in the sample sent to or seen by moderators. This information may be required if there is a review of moderation at a later date. In the case of coursework stored electronically within the centre, protection from corruption should also be considered.
- 21.3** An awarding body will retain exemplar work for archive and standardisation purposes. For information on copyright please see paragraphs 6.12 to 6.19 of the JCQ publication *General Regulations for Approved Centres*.

This publication is available in an interactive format within the Centre Admin Portal (CAP). The Centre Admin Portal can be accessed via any of the awarding bodies' secure extranet sites. The document is also available in PDF format on the JCQ website:

<http://www.jcq.org.uk/exams-office/general-regulations>

22 Centre consortium arrangements

- 22.1** In cases where all candidates from different centres have been taught and are assessed together, centres **must** inform the awarding body of the relevant internally-assessed components/units and the centres involved. Centres in such an arrangement are referred to as a consortium.
- 22.2** The centres in the consortium **must** nominate a consortium co-ordinator who undertakes to liaise with the awarding body on behalf of all the centres.
- 22.3** Consortium co-ordinators **must** complete Form JCQ/CCA *Centre consortium arrangements for centre assessed work*, which is accessible via the Centre Admin Portal (CAP), for each examination series and for each specification with one or more internally-assessed components/units that has been taught jointly.
- Co-ordinators **must** submit the form by the published deadline.
- 22.4** The centres **must** carry out internal standardisation of the marking of coursework across the consortium.
- 22.5** The awarding body will allocate the same moderator to each centre in the consortium and the candidates will be treated as a single group for the purpose of moderation.
- 22.6** If a consortium requests a review of moderation, the work **must** be available from **all** the centres in the consortium, as it is the original sample that is reviewed.

23 Reviews of moderation

23.1 Centres can request a review of moderation (Service 3) to ensure that the assessment criteria have been fairly, reliably and consistently applied. **This service is not available if the centre's coursework marks have been accepted without change by an awarding body.**

23.2 The review of moderation:

- is a process in which a second standardised moderator reviews the work of the first standardised moderator. The second moderator sees the original marks and any annotations made by the first moderator to gain a full and clear understanding of whether the assessment criteria have been applied as intended;
- is a process to ensure that the first moderator has made an accurate judgement on the centre's ability to mark the work to the agreed national standard;
- is undertaken on the original sample of candidates' work;
- includes feedback similar to that provided following the original moderation. (If centre marks are reinstated, feedback may not be provided.)

The moderator undertaking a review of moderation must consider the marks given by the previous moderator and can only make a change to the outcome of moderation if an error occurred in the initial moderation process.

23.3 A review of moderation **will not** be undertaken upon the work of an individual candidate or the work of candidates not in the original sample (unless there was a fault in the selection of the original sample, e.g. insufficient candidates included).

23.4 The coursework submitted for a review of moderation:

- **must** be despatched to the moderator within three working days following the receipt of instructions from the awarding body. Failure to meet this undertaking may delay the outcome of the review of moderation, or result in the review of moderation being cancelled;
- **must** be the original work submitted for moderation;
- **must** have been kept under secure conditions;
- **must not** have been returned to the candidates

23.5 An equivalent sample may be requested by the awarding body where the original sample of candidates' work has been lost.

23.6 Externally-assessed coursework will be treated as examination scripts for the purposes of enquiries about results. Centres should request a review of marking (Service 2) or a priority review of marking (Priority Service 2) as appropriate to the level of the qualification.

23.7 For further information on reviews of marking and reviews of moderation please refer to the JCQ publication *Post Result Services, Information and guidance for centres*. This publication is available on the JCQ website:

<http://www.jcq.org.uk/exams-office/post-results-services>

24 Access issues

24.1 When choosing specifications, candidates **must** be made aware of the skills which they will be required to demonstrate in coursework components/units. If they choose a specification where they **will not** be able to demonstrate attainment in all parts of the assessment, they will be unable to gain all of the available credit.

24.2 It is possible for awarding bodies to agree arrangements so that candidates with disabilities can access the assessment(s). **These arrangements must be made in advance of examinations and assessments.** Centres should refer to the JCQ publication *Access Arrangements and Reasonable Adjustments*.

This publication is available in an interactive format within the Centre Admin Portal (CAP). The Centre Admin Portal can be accessed via any of the awarding bodies' secure extranet sites. The document is also available in PDF format on the JCQ website:

<http://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration>

24.3 Centres **must** ensure that, where coursework is marked by teachers, credit is only given for skills demonstrated by the candidate working independently. Access arrangements **must not** undermine the integrity of the qualification.

Declaration of authentication – coursework assessments

Each candidate **must** sign a declaration when submitting their coursework to their subject teacher for final assessment. This is to confirm that the work is their own and that any assistance given and/or sources used have been acknowledged. **Ensuring that they do so is the responsibility of centres.**

(A candidate is defined as someone for whom an entry is in place for the unit or qualification.)

Awarding bodies may issue Declaration of authentication forms to centres, which will replicate some or all of the wording detailed below. Alternatively, the following text may be used as guidance by those centres who wish to create their own documentation.

The work you submit for assessment **must** be your own.

If you copy from someone else, allow another candidate to copy from you, or if you cheat in any other way, you may be disqualified from at least the subject concerned.

Declaration by candidate

I have read and understood the Information for candidates – coursework assessments. I have produced the attached work without assistance other than that which is acceptable under the scheme of assessment.

(For ELC qualifications if necessary, the teacher can complete this section on behalf of the candidate. The text **must** be explained to the candidate before the teacher signs the form.)

Candidate's name:.....

Candidate's signature:..... Date:.....

Declaration by teacher

I confirm that:

1. the candidate's work was conducted under the conditions laid out by the specification;
2. I have authenticated the candidate's work and am satisfied that to the best of my knowledge the work produced is solely that of the candidate.

Teacher's name:.....

Teacher's signature:..... Date:.....

Information for candidates – coursework assessments

This notice has been produced on behalf of: AQA, City & Guilds, CCEA, OCR, Pearson and WJEC



This document tells you about some things that you **must** and **must not** do when you are completing coursework.

When you submit any coursework for marking, you will be asked to sign an authentication statement confirming that you have read and followed these regulations.

If there is anything that you do not understand, you **must** ask your teacher.

Coursework provides you with an opportunity to do some independent research into a topic. The research you do will involve looking for information in published sources such as textbooks, encyclopedias, journals, TV, radio and on the internet.

Using information from published sources (including the internet) as the basis for your coursework is a good way to demonstrate your knowledge and understanding of a subject. You **must** take care how you use this material though – you **cannot** copy it and claim it as your own work

The regulations state that:

‘the work which you submit for assessment **must** be your own’;

‘you **must not** copy from someone else or allow another candidate to copy from you’.

If you use the same wording as a published source, you **must** place quotation marks around the passage and state where it came from. This is called ‘referencing’. You **must** make sure that you give detailed references for everything in your work which is not in your own words. A reference from a printed book or journal should show the name of the author, the year of publication and the page number, for example: (Morrison, 2000, p 29).

For material taken from the internet, your reference should show the date when the material was downloaded and **must** show the precise web page, not the search engine used to locate it. This can be copied from the address line. For example: http://news.bbc.co.uk/onthisday/hi/dates/stories/october/28/newsid_2621000/2621915.stm, downloaded 5 February 2022.

You may be required to produce a bibliography at the end of your work. This **must** list the full details of publications you have used in your research, even where these are not directly referred to, for example: Curran, J. *Mass Media and Society* (Hodder Arnold, 2005).

If you copy the words or ideas of others and do not show your sources in references and a bibliography, this will be considered as cheating.

Preparing your coursework – good practice

If you receive help and guidance from someone other than your teacher, you **must** tell your teacher who will then record the nature of the assistance given to you.

Your parent/carer may provide you with access to resource materials and discuss your coursework with you. However, they **must not** give you direct advice on what should or should not be included.

If you worked as part of a group on an assignment, you **must** each write up your own account of the assignment. Even if the data you have is the same, the description of how that data was obtained and the conclusions you draw from it should be in your own words.

You **must** meet the deadlines that your teacher gives you. Remember – your teachers are there to guide you. Although they cannot give you direct assistance, they can help you to sort out any problems before it is too late.

Take care of your work and keep it safe. **Don't** leave it lying around where your classmates can find it or share it with anyone, including posting it on social media. You **must always** keep your coursework secure and confidential whilst you are preparing it; **do not** share it with your classmates. If it is stored on the computer network, keep your password secure. Collect all copies from the printer and destroy those you do not need.

Don't be tempted to use pre-prepared online solutions – this is cheating. Electronic tools used by awarding bodies can detect this sort of copying.

You **must not** write inappropriate, offensive or obscene material.

Plagiarism

Plagiarism involves taking someone else's words, thoughts or ideas and trying to pass them off as your own. **It is a form of cheating which is taken very seriously.**

Don't think you won't be caught; there are many ways to detect plagiarism.

- Markers can spot changes in the style of writing and use of language.
- Markers are highly experienced subject specialists who are very familiar with work on the topic concerned – they may have read the source you are using, or even marked the work you have copied from!
- Internet search engines and specialised computer software can be used to match phrases or pieces of text with original sources and to detect changes in the grammar and style of writing or punctuation.

Penalties for breaking the regulations

If your work is submitted and it is discovered that you have broken the regulations, one of the following penalties will be applied:

- the piece of work will be awarded zero marks;
- you will be disqualified from that unit for that examination series;
- you will be disqualified from the whole subject for that examination series;
- you will be disqualified from all subjects and barred from entering again for a period of time.

The awarding body will decide which penalty is appropriate.

REMEMBER - IT'S YOUR QUALIFICATION SO IT NEEDS TO BE YOUR OWN WORK